

# **In the United States Patent and Trademark Office**

Serial No.: 10/775,868                      Docket No.: 17209-311CP1  
Filed: February 10, 2004                      Conf. No.: 1175                      Art Unit: 3684  
Applicant(s): David Lawrence                      Examiner: Vizvary, Gerald C  
For: Insider Trading Risk Management

Mailstop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **AMENDMENT FEE TRANSMITTAL**

Sir:

Transmitted herewith is an Amendment for the above-identified application.

☒ No additional fee is required.

☐ The additional fee has been calculated as shown below:

### **CLAIMS AS AMENDED**

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Extra	Rate	Additional Fee
Total Claims*	18	20	0	\$52.00/ \$26.00	\$ 0
Independent Claims	2	3	0	\$220.00/ \$110.00	\$ 0
Multiple Dependent Claims	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$360.00 to additional fee (\$180.00 for small entity).				\$ 0
TOTAL					\$ 0

\*Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. §1.75(c).

☐ Small entity status is or has been claimed.  
Reduced Fees Under 37 C.F.R. §1.9(f) paid herewith \$\_\_\_\_\_

☐ \_\_\_\_\_ Pages Sequence Listing

- ☐ \_\_\_\_\_ Computer disk(s) containing substitute Sequence Listing
- ☐ Statement under 37 C.F.R. §1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is attached.
- ☐ Charge fee to Deposit Account No. 03-1240, Order No. 17209-311CP1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this amendment, including all fees pursuant to 37 CFR §1.17 for its timely consideration, or credit any overpayment to Deposit Account No.03-1240, Order No. 17209-311CP1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
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Dated: October 8, 2010

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